Collège doctoral Centre-Val de Loire

Introduction to Deep Learning

Course II – Introduction to Artificial Neural Networks: Deep neural networks and Convolutional Neural Networks (CNN)

Bruno Galerne 2024-2025



Credits

Most of the slides from **Charles Deledalle's** course "UCSD ECE285 Machine learning for image processing" (30 \times 50 minutes course)

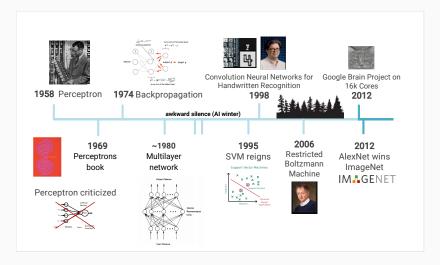


www.charles-deledalle.fr/
https://www.charles-deledalle.fr/pages/teaching.php#learning

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Machine learning – Timeline

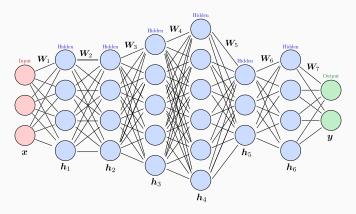
Timeline of (deep) learning





Source: Dan Page)

ANNs recap

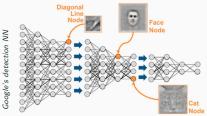


- Interconnections of neurons (summations and activations),
- $\bullet \ \ \mathsf{Feedforward} \ \ \mathsf{architecture} \colon \mathsf{input} \to \mathsf{hidden} \ \mathsf{layer}(\mathsf{s}) \to \mathsf{output},$
- Parameterized by a collection of weights W_k (and biases),
- Backprop: parameters learned from a collection of labeled data.

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What is deep learning?

- Representation learning using artificial neural networks
 - \rightarrow Learning good features automatically from raw data.
 - \rightarrow Exceptionally effective at learning patterns.
- Learning representations of data with multiple levels of abstraction
 - \rightarrow hierarchy of layers that mimic the neural networks of our brain,
 - \rightarrow cascade of non-linear transforms.



 If you provide the system with tons of information, it begins to understand it and responds in useful ways.

How to teach a machine?



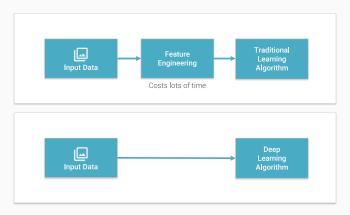
(or any other **hand-crafted** features)

Good representations are often very complex to define.

(Source: Caner Hazırbaş)

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Deep learning: No more feature engineering



Learn the latent factors/features behind the data generation

(Source: Lucas Masuch)

Inspired by the Brain

- The first hierarchy of neurons that receives information in the visual cortex are sensitive to specific edges while brain regions further down the visual pipeline are sensitive to more complex structures such as faces.
- Our brain has lots of neurons connected together and the strength of the connections between neurons represents long term knowledge.
- One learning algorithm hypothesis: all significant mental algorithms are learned except for the learning and reward machinery itself.

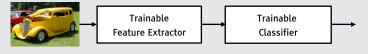
(Source: Lucas Masuch)

Deep learning: No more feature engineering

- The traditional model of pattern recognition (since the late 50's)
 - Fixed/engineered features (or fixed kernel) + trainable classifier

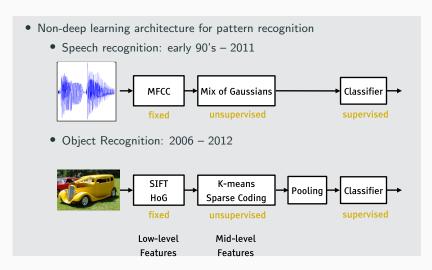


- End-to-end learning / Feature learning / Deep learning
 - Trainable features (or kernel) + trainable classifier

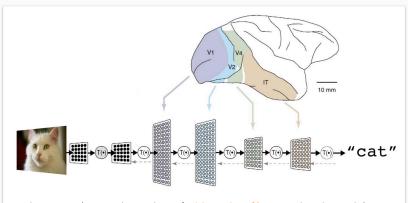


(Source: Yann LeCun & Marc'Aurelio Ranzato,

Deep learning: No more feature engineering



Deep learning - Basic architecture



A deep neural network consists of a hierarchy of layers, whereby each layer transforms the input data into more abstract representations (e.g. edge -> nose -> face). The output layer combines those features to make predictions.

Trainable feature hierarchy

- Hierarchy of representations with increasing levels of abstraction.
- Each stage is a kind of trainable feature transform.

Image recognition

 $\bullet \ \mathsf{Pixel} \to \mathsf{edge} \to \mathsf{texton} \to \mathsf{motif} \to \mathsf{part} \to \mathsf{object}$

Text

 $\bullet \;\; \mathsf{Character} \to \mathsf{word} \to \mathsf{word} \;\; \mathsf{group} \to \mathsf{clause} \to \mathsf{sentence} \to \mathsf{story}$

Speech

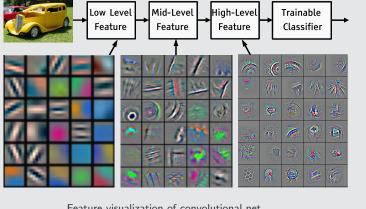
 $\bullet \ \mathsf{Sample} \to \mathsf{spectral} \ \mathsf{band} \to \mathsf{sound} \to \dots \to \mathsf{phone} \to \mathsf{phoneme} \to \mathsf{word}$

Deep Learning addresses the problem of learning hierarchical representations.

(Source: Yann LeCun & Marc'Aurelio Ranzato)

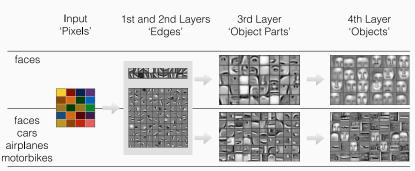
Deep learning – Feature hierarchy

• It's deep if it has more than one stage of non-linear feature transformation



Feature visualization of convolutional net trained on ImageNet from (Zeiler & Fergus, 2013)

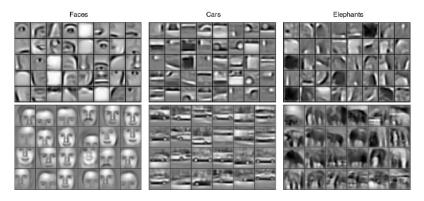
Deep learning - Feature hierarchy



Each layer progressively extracts higher level features of the input until the final layer essentially makes a decision about what the input shows. The more layers the network has, the higher level features it will learn.

(Source: Andrew Ng & Lucas Masuch & Caner Hazırbaş)

Deep learning - Feature hierarchy



- Mid- to high-level features are specific to the given task.
- Low-level representations contain less specific features.
 (can be shared for many different applications)

Deep learning – Training

Today's trend: make it deeper and deeper

```
2012: 8 layers (AlexNet – Krizhevsky et al., 2012)
2014: 19 layers (VGG Net – Simonyan & Zisserman, 2014)
2014: 22 layers (GoogLeNet – Szegedy et al., 2014)
2015: 152 layers (ResNet – He et al., 2015)
2016: 201 layers (DenseNet – Huang et al., 2017)
```

But remember, with back-propagation:

- We got stuck at local optima or saddle points
- The learning time does not scale well
 - it is very slow for deep networks and can be unstable.

How did networks get so deep? First, why does backprop fail?

Deep learning – Gradient vanishing problems

Back-propagation and gradient vanishing problems

Update:
$$\mathbf{W}_k = \mathbf{W}_k - \gamma \nabla_{\mathbf{W}_k} E$$
 with $\nabla_{\mathbf{W}_k} E = \mathbf{\delta}_k \mathbf{h}_{k-1}^T$ where $\mathbf{\delta}_k = \nabla_{\mathbf{a}_k} E = \nabla_{\mathbf{h}_k} E \odot g_k'(\mathbf{a}_k)$.

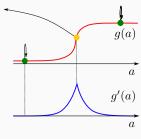
- With deep networks, the gradient vanishes quickly.
- Unfortunately, this arises even though we are far from a solution.
- The updates become insignificant, which leads to slow training rates.
- This strongly depends on the shape of g'(a).
- The gradient may also explode leading to instabilities:
 - → gradient exploding problem.

Deep learning – Gradient vanishing problem

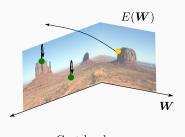
As the network gets deeper, the landscape of E becomes:

- very hilly
- with large plateaus
- and delimited by cliffs

- \rightarrow lots of stationary points,
- → gradient vanishing problem,
- \rightarrow gradient exploding problem.



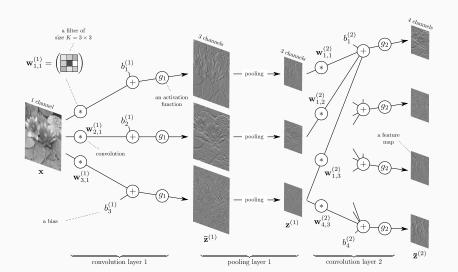
Activation function



Cost landscape

So, what has changed? (see later for recipes...)

CNN for image processing



For an image x=x(i,j) having **one chanel**, the convolution with a kernel κ of size $[-s,s]\times [-s,s]$

• the convolution is:

$$x * \kappa(i,j) = \sum_{(k,\ell) \in [-s,s] \times [-s,s]} \kappa(k,\ell) x(i-k,j-\ell)$$

 $x * \kappa =$ local average of x with the weight of κ in **opposite position**

the cross-correlation is:

$$x \otimes \kappa(i,j) = \sum_{(k,\ell) \in [-s,s] \times [-s,s]} \kappa(k,\ell) x(i+k,j+\ell).$$

 $x \otimes \kappa = \text{local average of } x \text{ with the weight of } \kappa \text{ in same position}$

 Need to deal with boundary issues for pixels at the border: zero-padding (0 if outside border), valid positions only (do not compute at border, smaller output images), mirror symmetry at border...

Convolution

- Images have generally several chanels (eg RGB).
- By computing several convolutions we can stack the result as a single multi-channel output.

In machine learning
"convolution"
means
"cross-correlation + bias"

• Recall that "linear" layers are affine map $x\mapsto Wx+b$, so convolution layers are a specific case where $x\mapsto Wx$ is a cross-correlation.

Convolution layer

Convolution layer with $c_{\rm in}$ input chanels and $c_{\rm out}$ output chanels:

- Input image x with c_{in} chanels: values $x(i,j) \in \mathbb{R}^{c_{\text{in}}}$
- ullet Output image $oldsymbol{y}$ with c_{out} chanels.
- Kernel: κ such that for all $(k,\ell) \in [-s,s] \times [-s,s]$

$$oldsymbol{\kappa}(k,\ell) \in \mathbb{R}^{c_{\mathrm{out}} imes c_{\mathrm{in}}}, \quad ext{is a } c_{\mathrm{out}} imes c_{\mathrm{in}} \; \mathsf{matrix}$$

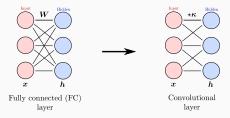
• Bias: $oldsymbol{b} \in \mathbb{R}^{c_{ ext{out}}}$

$$\begin{aligned} \boldsymbol{y}(i,j) &= \operatorname{Conv}(\boldsymbol{x}; \boldsymbol{\kappa}, \boldsymbol{b})(i,j) \\ &= \left[\sum_{(k,\ell) \in [-s,s] \times [-s,s]} \boldsymbol{\kappa}(k,\ell) \boldsymbol{x}(i+k,j+\ell) \right] + \boldsymbol{b} \in \mathbb{R}^{c_{\text{out}}} \end{aligned}$$

• Number of parameters: $(2s+1)^2 \times c_{\rm in} \times c_{\rm out}$ for ${m \kappa}$ and $c_{\rm out}$ for ${m b}$

What are CNNs?

 Essentially neural networks that use convolution in place of general matrix multiplications at least for the first layers.



- CNNs are designed to process the data in the form of multidimensional arrays/tensors (e.g., 2D images, 3D video/volumetric images).
- Composed of series of stages: convolutional layers and pooling layers.
- Units connected to local regions in the feature maps of the previous layer.
- Do not only mimic the brain connectivity but also the visual cortex.

CNNs are composed of three main ingredients:

- Local receptive fields
 - hidden units connected only to a small region of their input,
- Shared weights
 - same weights and biases for all units of a hidden layer,
- Opening
 - condensing hidden layers.

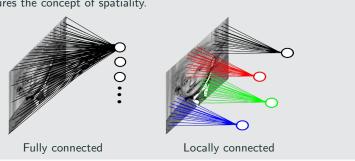
but also

- 4 Redundancy: more units in a hidden layer than inputs,
- **6** Sparsity: units should not all fire for the same stimulus.

All take inspiration from the visual cortex.

Local receptive fields \rightarrow Locally connected layer

- Each unit in a hidden layer can see only a small neighborhood of its input,
- Captures the concept of spatiality.

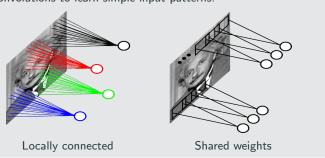


For a 200×200 image and 40,000 hidden units

- Fully connected: 1.6 billion parameters,
- Locally connected (10×10 fields): 4 million parameters.

Self-similar receptive fields → **Shared weights**

- Detect features regardless of position (translation invariance),
- Use convolutions to learn simple input patterns.

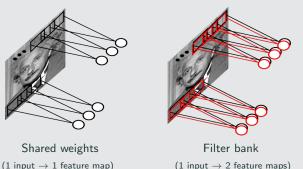


For a 200×200 image and 40,000 hidden units

- Locally connected (10×10 fields): 4 million parameters,
- & Shared weights: 100 parameters (independent of image size).

Specialized cells → **Filter bank**

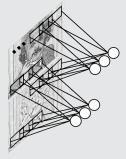
- Use a filter bank to detect multiple patterns at each location,
- Multiple convolutions with different kernels,
- Result is a 3d array, where each slice is a feature map.



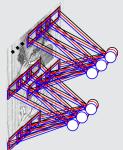
• 10×10 fields & 10 output features: 1,000 parameters.

Hierarchy → inputs of deep layers are themselves 3d arrays

- Learn to filter each channel such that their sum detects a relevant feature,
- Repeat as many times as the desired number of output features should be.



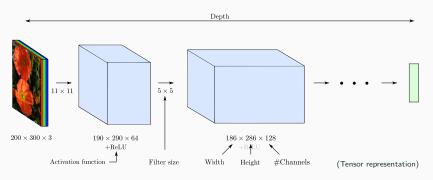
Multi-input filter
(2 inputs → 1 feature map)



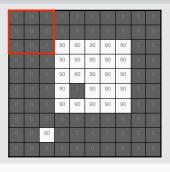
Multi-input filter bank (2 inputs → 3 feature maps)

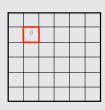
- Remark: these are not 3d convolutions, but sums of 2d convolutions.
- 10×10 fields & 10 inputs & 10 outputs: 10,000 parameters.

$\textbf{Overcomplete} \rightarrow \textbf{increase the number of channels}$

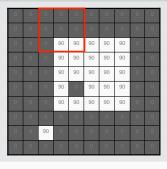


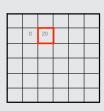
- Redundancy: increase the number of channels between layers.
- **Padding**: $n \times n \text{ conv} + valid \rightarrow \text{ width and height decrease by } n-1$.
- Can we control even more the number of simple cells?



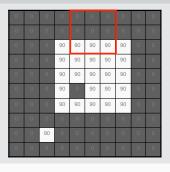


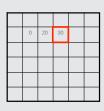
- Slide the filter by s pixels step by step, not one by one,
- The interval s is called stride (usually s = 2),
- $n \times n \text{ conv} + \textit{valid} \rightarrow \text{width/height decrease to } \lceil \frac{w-n+1}{s} \rceil$ and $\lceil \frac{h-n+1}{s} \rceil$,
- Equivalent in subsampling/decimating the standard convolution,
- Trade-off between computation and degradation of performance.



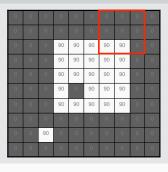


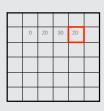
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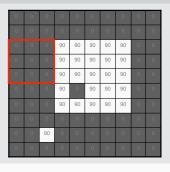


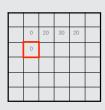
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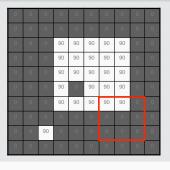


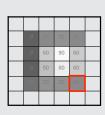
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Pooling layer

- Used after each convolution layer to mimic complex cells,
- Unlike striding, reduce the size by aggregating inputs:
 - Partition the image in a grid of $z \times z$ windows (usually z = 2),
 - max-pooling: take the max in the window

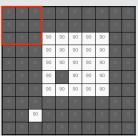
12	20	30	0			
8	12	2	0	2×2 Max-Pool	20	30
34	70	37	4	7	112	37
112	100	25	12			

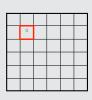
• average-pooling: take the average

Pooling layer

Variant: Overlapping pooling (Krizhevsky, Sutskever, Hinton, 2012)

- Perform pooling in a $z \times z$ sliding window,
- Use striding every s pixels,
- Typically: use max-pooling with z=3 and s=2:

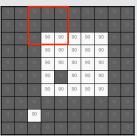


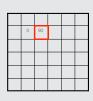


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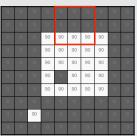




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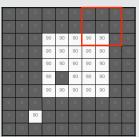




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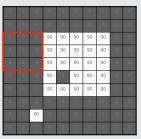




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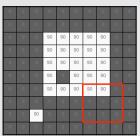


0	90	90	90	
0				
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Pooling layer

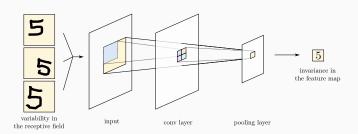
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Pooling layer



- Makes the output unchanged even if the input is a little bit changed,
- Allows some invariance/robustness with respect to the exact position,
- Simplifies/Condenses/Summarizes the output from hidden layers,
- Increases the effective receptive fields (with respect to the first layer.)

CNNs parameterization

Setting up a convolution layer requires choosing

• Filter size: $n \times n$

ullet #output channels: C

• Stride: s

• Padding: p

The filter weights κ and the bias b are learned by backprop.

Setting up a pooling layer requires choosing

• Pooling size: $z \times z$

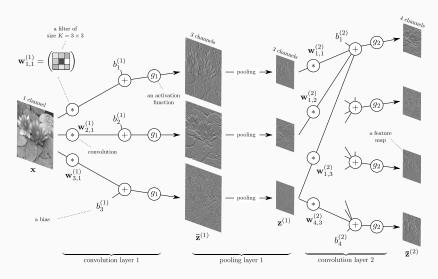
Aggregation rule: max-pooling, average-pooling, . . .

• Stride: s

• Padding: p

No free parameters to be learned here.

All concepts together



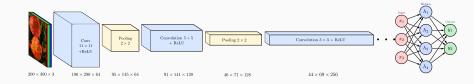
All concepts together with tensor representation



CNN: Alternate:

Conv + ReLU + pooling

All concepts together with tensor representation



CNN: Alternate:

Conv + ReLU + pooling

End of network:

Plug a standard neural network:

Fully connected hidden layers

(linear) + ReLU

All concepts together with tensor representation



CNN: Alternate:

Conv + ReLU + pooling

End of network:

Plug a standard neural network:

Fully connected hidden layers

(linear) + ReLU

Full network:

- CNN: Extract features specific to spatial data
- Fully connected part: Use CNN features for specific regression/classification task
- **Training:** Learn regression/classification and feature extraction **jointly**

Questions?

Slides from Charles Deledalle

Sources, images courtesy and acknowledgment

K. Chatfield A. Horodniceanu L. Masuch

P. Gallinari, Y. LeCun A. Ng

C. Hazırbaş V. Lepetit M. Ranzato

Practical session - Convolutional neural networks



Go through the PyTorch tutorial: "Deep Learning with PyTorch: A 60 Minute Blitz"

https://pytorch.org/tutorials/beginner/deep_learning_60min_blitz.html

Each part is a notebook with a "Run in Google Colab" button.